



UNITED STATES PATENT AND TRADEMARK OFFICE

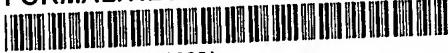
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,172	12/06/2001	Kevin P. Baker	P2830P1C11



Ginger R. Dreger
Knobbe Martens Olson & Bear
Suite 1150
201 California Street
San Francisco, CA 94111

CONFIRMATION NO. 1455
FORMALITIES LETTER



OC000000007302262

Date Mailed: 01/14/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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S.1D

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PART 1 - ATTORNEY/APPLICANT COPY



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 PART 2 - COPY TO BE RETURNED WITH RESPONSE

GNE.2830P1C11



PATENT
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Baker et al.) Group Art Unit Unknown
)
Appl. No.	:	10/006,172)
)
Filed	:	December 6, 2001)
)
For	:	SECRETED AND)
		TRANSMEMBRANE)
		POLYPEPTIDES AND)
		NUCLEIC ACIDS ENCODING)
		THE SAME)
)
Examiner	:	Unknown)
)

REQUEST FOR CORRECTED FILING RECEIPT

United States Patent and Trademark Office
P.O. Box 2327
Office of Initial Patent Examination
Customer Service Center
Arlington, VA 22202

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a marked copy of which is enclosed, be corrected to reflect the priority claims of the above-referenced case. Although, the Transmittal Letter claims priority to five U.S. applications (p.2), the Filing Receipt presently only shows the Provisional and PCT applications priority claims. Please add the following U.S. applications as follows to page 2 of the Official Filing Receipt:

Appl. No. : 10/006,172
Filed : December 6, 2001

1. 09/218,517, filed December 22, 1998
2. 09/284,291, filed April 12, 1999
3. 09/403,297, filed October 18, 1999
4. 09/872,035, filed June 1, 2001
5. 09/882,636, filed June 14, 2001

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 14, 2002

By: Ginger R. Dreger
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Attorney of Record
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(415) 954-4114

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030502

#8

GNE.2830P1C11



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Baker et al.) Group Art Unit Unknown
Appl. No. :	10/006,172) I hereby certify that this correspondence and all
Filed :	December 6, 2001) marked attachments are being deposited with
For :	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME) the United States Postal Service as first-class
Examiner :	UNKNOWN) mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

March 14, 2002
(Date)

A. m. h.
Ginger R. Dreger, Reg. No. 33,055

CORRECTION OF ADDRESS

United States Patent and Trademark Office
PO Box 2327
Arlington, VA 22202

Dear Sir:

Please correct the address for all future communications relative to the above-referenced pending patent application to the following address:

Ginger R. Dreger
Knobbe Martens Olson & Bear
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
Telephone: 949-760-0404

Appl. No. : 10/006,172
Filed : December 6, 2001

Please use Customer No. 30, 313 for all communications.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: March 14, 2002

By: Ginger R. Dreger

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Newport Beach, CA 92660
(415) 954-4114

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030502



Case Docket No. GNE.2830P1C11
Date: March 14, 2002

Keeps
PATENT
Bill SG

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.

Appl. No. : 10/006,172

Filed : December 6, 2001

For : SECRETED AND
TRANSMEMBRANE
POLYPEPTIDES AND
NUCLEIC ACIDS
ENCODING THE SAME

Examiner : Unknown

Group Art Unit : 1645

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in
an envelope addressed to: Commissioner for
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March 14, 2002

(Date)


Ginger R. Dreger, Reg. No. 33,055

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application is the following:

- (X) Amendment;
- (X) Sequence Submission Statement;
- (X) Sequence Listing diskette in computer readable form;
- (X) Substitute Sequence Listing;
- (X) Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;

Case Docket No. GNE.2830PIC11
Date: March 14, 2002

-
- (X) Correction of Address;
 - (X) Request for Corrected Filing Receipt;
 - (X) Copy of original Filing Receipt, with corrections marked in red;

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410; and

- (X) A return prepaid postcard.

A. Dreger
Ginger R. Dreger
Registration No. 33,055
Attorney of Record

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031402



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,172	12/06/2001	1645	740	P2830P1C11	249	13	2

CONFIRMATION NO. 1455

FILING RECEIPT



OC000000007302261

Ginger R. Dreger
Knobbe Martens Olson & Bear
Suite 1150
201 California Street
San Francisco, CA 94111

Date Mailed: 01/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001
AND CLAIMS BENEFIT OF 60/098,716 09/01/1998
AND CLAIMS BENEFIT OF 60/098,723 09/01/1998
AND CLAIMS BENEFIT OF 60/098,749 09/01/1998
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AND CLAIMS BENEFIT OF 60/098,821 09/02/1998
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AND CLAIMS BENEFIT OF 60/099,602 09/09/1998
AND CLAIMS BENEFIT OF 60/099,642 09/09/1998
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AND CLAIMS BENEFIT OF 60/099,792 09/10/1998
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THIS APPLICATION 10/006,172
CLAIMS BENEFIT OF 60/101,743 09/24/1998
AND CLAIMS BENEFIT OF 60/101,915 09/24/1998
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AND CLAIMS BENEFIT OF 60/102,207 09/29/1998
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AND CLAIMS BENEFIT OF 60/102,331 09/29/1998
AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

which claims benefits of
09/218,517 12/22/1998
and claims benefit of
09/284,291 4/12/1999
and claims benefit of
09/403,297 10/18/1999
and claims benefit of
09/872,035 6/1/2001
and claims benefit of
09/882,636 6/14/2001

AND CLAIMS BENEFIT OF 60/102,487 09/30/1998
AND CLAIMS BENEFIT OF 60/102,570 09/30/1998
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AND CLAIMS BENEFIT OF 60/105,169 10/22/1998
AND CLAIMS BENEFIT OF 60/105,266 10/22/1998
AND CLAIMS BENEFIT OF 60/105,693 10/26/1998
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AND CLAIMS BENEFIT OF 60/105,807 10/27/1998
AND CLAIMS BENEFIT OF 60/105,881 10/27/1998
AND CLAIMS BENEFIT OF 60/105,882 10/27/1998
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AND CLAIMS BENEFIT OF 60/106,029 10/28/1998
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AND CLAIMS BENEFIT OF 60/106,032 10/28/1998
AND CLAIMS BENEFIT OF 60/106,033 10/28/1998
AND CLAIMS BENEFIT OF 60/106,062 10/27/1998
AND CLAIMS BENEFIT OF 60/106,178 10/28/1998
AND CLAIMS BENEFIT OF 60/106,248 10/29/1998
AND CLAIMS BENEFIT OF 60/106,384 10/29/1998
AND CLAIMS BENEFIT OF 60/108,500 10/29/1998
AND CLAIMS BENEFIT OF 60/106,464 10/30/1998
THIS APPLICATION 10/006,172
CLAIMS BENEFIT OF 60/106,856 11/03/1998
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998
AND CLAIMS BENEFIT OF 60/106,905 11/03/1998
AND CLAIMS BENEFIT OF 60/106,919 11/03/1998
AND CLAIMS BENEFIT OF 60/106,932 11/03/1998
AND CLAIMS BENEFIT OF 60/106,934 11/03/1998
AND CLAIMS BENEFIT OF 60/107,783 11/10/1998
AND CLAIMS BENEFIT OF 60/108,775 11/17/1998
AND CLAIMS BENEFIT OF 60/108,779 11/17/1998
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AND CLAIMS BENEFIT OF 60/108,867 11/17/1998
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AND CLAIMS BENEFIT OF 60/108,925 11/17/1998
AND CLAIMS BENEFIT OF 60/113,296 12/22/1998
AND CLAIMS BENEFIT OF 60/114,223 12/30/1998
AND CLAIMS BENEFIT OF 60/129,674 04/16/1999
AND CLAIMS BENEFIT OF 60/141,037 06/23/1999
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

Foreign Applications

PCT/US99/00106 01/05/1999
PCT/US99/20111 09/01/1999
PCT/US99/21194 09/15/1999
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PCT/US00/05004 02/24/2000
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PCT/US00/06884 03/15/2000
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PCT/US01/19692 06/20/2001
PCT/US01/21066 06/29/2001
PCT/US01/21735 07/09/2001

If Required, Foreign Filing License Granted 01/11/2002

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

Title

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class

435

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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